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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/726,564	12/01/2000	Yoshiya Nonaka	P107156-00031	1817

7590 04/21/2004

NIKAIDO, MARMELSTEIN, MURRAY & ORAM LLP  
Metropolitan Square  
G Street Lobby - Suite 330  
655 Fifteenth Street, N.W.  
Washington, DC 20005-5701

EXAMINER

TRAN, ELLEN C

ART UNIT	PAPER NUMBER
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2134

DATE MAILED: 04/21/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED  
APR 26 2004  
Technology Center 2100

**Office Action Summary**

Application No.

09/726,564

Applicant(s)

NONAKA ET AL.

Examiner

Ellen C Tran

Art Unit

2134

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 01 December 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) \_\_\_\_\_ is/are rejected.
- 7) ☒ Claim(s) 1-6 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**NORMAN M. WRIGHT**  
**PRIMARY EXAMINER**

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

1. This action is responsive to communication: original application filed 1 December 2000 with recognition of a foreign priority date of 8 December 1999.
2. Claims 1-6 are currently pending in this application. Claims 1, 3, and 5 are independent claims.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-6 are rejected under 35 U.S.C. 102(b) as being anticipated by Newell U.S. Patent No. 5,651,064 (hereinafter '064).

**As to independent claim 1, "A method for recording/reading information day a using a first recording medium and a second recording medium each having its own identification data, said method comprising the steps of:" and "encrypting the first encrypted information data in accordance with an identification data of the second recording medium, so as to produce second encrypted information data; recording the second encrypted information data in the second recording medium" and "restoring the second encrypted information data into the first encrypted information data and recording the information data in the first recording medium" is taught in '064 col. 4, lines 40-47;**

**“reading first encrypted information data encrypted in accordance with an identification data of the first recording medium and recorded in said first recording medium”** is shown in ‘064 col. 3, lines 5-12;

**“reading the second encrypted information data from the second recording medium and decoding the second encrypted information data in accordance with the identification data of the second recording medium”** is disclosed in ‘064 col. 3, lines 43-51.

**As to dependent claim 2, “wherein mutual confirmation is performed between the first recording medium and the second recording medium to confirm whether these recording mediums are formally registered, an encrypted information data is read out from the first recording medium or the second recording medium if the mutual confirmation shows that the first and second recording mediums are formally registered”** is taught in ‘064 col. 3, lines 65-67 (“confirmation” same as “polling”).

**As to independent claim 3,** this claim is directed to the system of claim 1 and is rejected along the same rationale.

**As to dependent claim 4,** this claim is substantially similar to claim 2 and is rejected along the same rationale.

**As to independent claim 5, “A system for recording/reading information data, wherein second encrypted information data encrypted in accordance with identification data of a second recording medium is read out from the second recording medium” and “the system comprising: reading means for reading**

**second encrypted information data from the second recording medium” and “decoding means for decoding the second encrypted information data in accordance with identification data of the second recording means” is taught in ‘064 col. 3, lines 43-51;**

**“the second encrypted information data is then recorded in a first recording medium” and “recording means for restoring the second encrypted information data into the first encrypted information data so as to record the information data in the first recording medium” is shown in ‘064 col. 4, lines 40-47.**

**As to dependent claim 6, “wherein the reading means comprises: confirmation means for performing a mutual confirmation between the first recording medium and the second recording medium; allowance issuing means for issuing an allowance for reading the second encrypted information data when the confirmation means confirms that the first recording medium and the second recording medium are all formally registered” is taught in ‘064 col. 3, lines 65-67 (“confirmation” same as “polling”).**

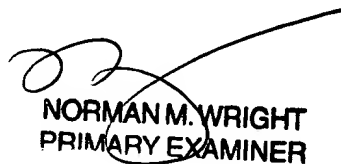
*Conclusion*

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen C Tran whose telephone number is (703) 305-8917. The examiner can normally be reached on 6:30 am to 3:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory A Morse can be reached on (703) 308-4789. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 306-5484.

Ellen Tran  
Patent Examiner  
Technology Center 2134  
15 April 2004

  
NORMAN M. WRIGHT  
PRIMARY EXAMINER

<b>Notice of References Cited</b>	Application/Control No. 09/726,564	Applicant(s)/Patent Under Reexamination NONAKA ET AL.	
	Examiner Ellen C Tran	Art Unit 2134	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,651,064	07-1997	Newell, Chester W.	705/51
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

*N*





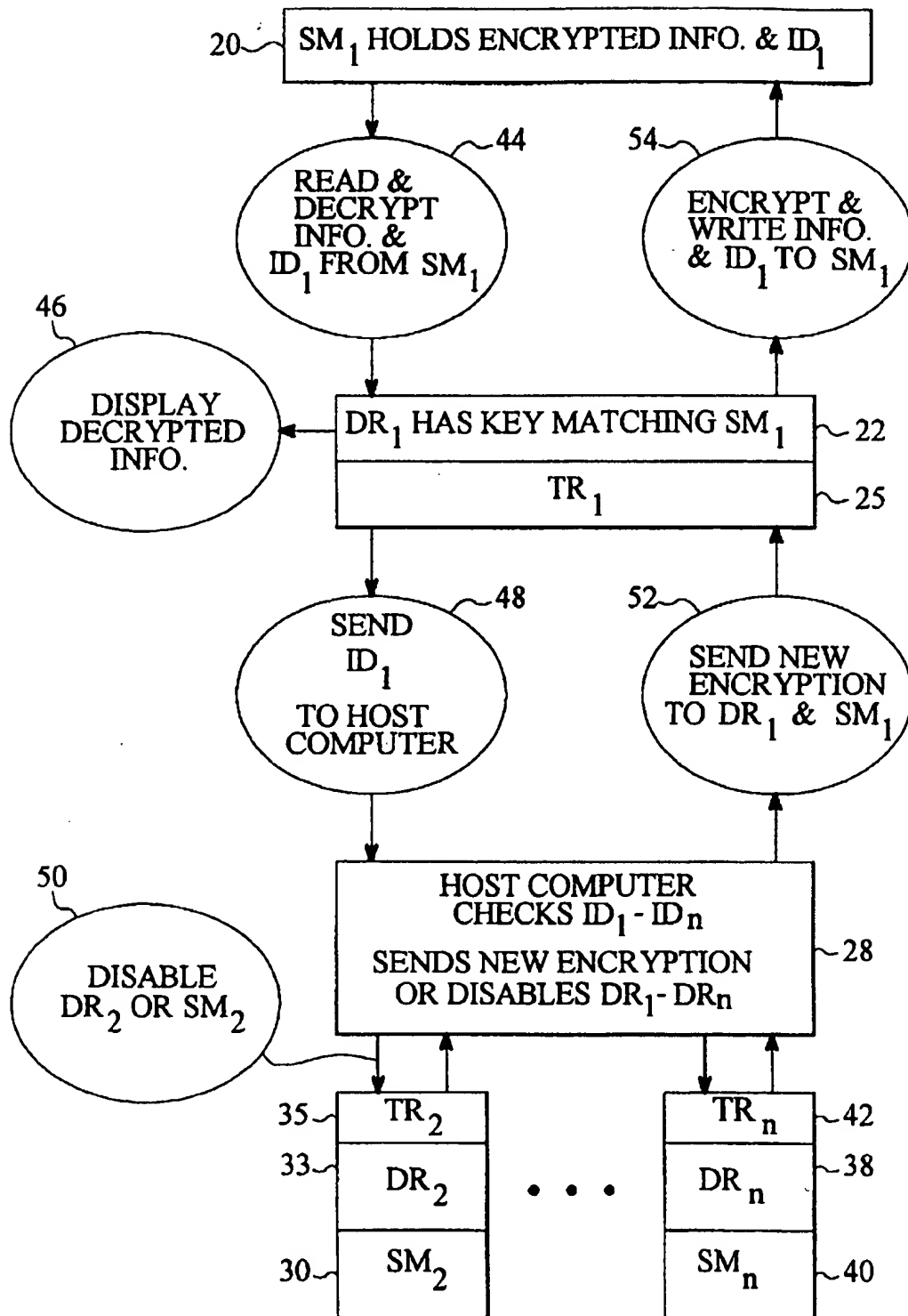


FIG. 1

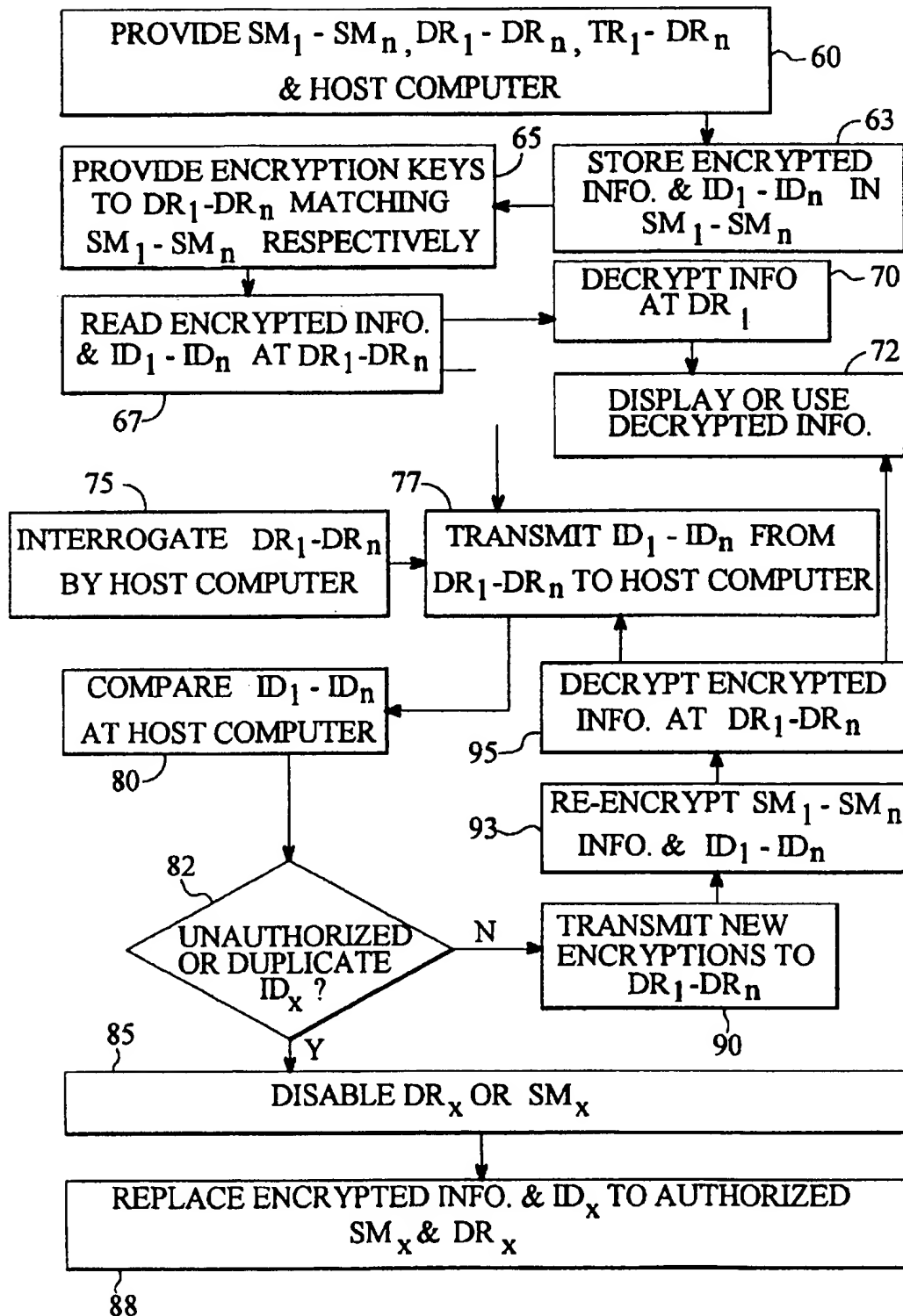


FIG. 2

# SYSTEM FOR PREVENTING PIRACY OF RECORDED MEDIA

## TECHNICAL FIELD

The present invention relates to systems for preventing unauthorized copying of distributed information modules such as audio, video or software disks or tapes.

## BACKGROUND ART

Unauthorized copying of recorded works has been a problem to the rightful owners of those works for centuries, providing a reason for the promulgation of copyright laws. With advances in technology, however, the ability to copy has become increasingly feasible and affordable. In particular, modern storage of information is often digital in nature, which allows repeated copying without incremental deterioration each time a copy is made. In addition, prerecorded audio, video or software programs often sell millions of legitimate copies, providing an incentive to those who may be tempted to risk running afoul of copyright laws to produce and sell pirated copies.

Several systems have been developed to thwart unauthorized copying. In U.S. Pat. No. 5,182,770, Medveczky et al. teach an integrated software piracy prevention system incorporating identification codes for installation and software components. The licensed software has an embedded program identification code, and an attached hardware device also has an embedded code, the hardware code and software code used to encrypt and decrypt the program and combining to provide an identification signature corresponding to a specific password. However, provision is not made for the situation in which the encryption code is broken and a number of copies of the software including the code are produced. U.S. Pat. No. 5,138,712 to Corbin provides a different approach to licensing software, in which a license server acts as an intermediary between an application program and a licensing library. This approach works best for computer networks allowing a restricted number of users to access the software at any given time.

In U.S. Pat. No. 5,291,554, Morales describes a pay per view television system that includes cryptographic keys for restricting access to movies and other video programs broadcast from a satellite to individual subscribers. Copying is possible once the programs have been decrypted by a subscriber. For communication systems such as radios and cellular telephones which are frequently operated, rekeying encryption codes of individual units such as telephones is employed to prevent unauthorized use of the communication system, as described in U.S. Pat. No. 5,173,938 to Steinbrenner et al. Further, U.S. Pat. Nos. 5,146,497 and 5,150,408 to Bright teach methods for providing such rekeying which corrects for delays in rekeying caused by communication, including resending rekeying messages when acknowledgement of the rekeying is not received from all of the communication units. U.S. Pat. No. 5,301,232 to Mulford teaches a key management system that determines whether individual communication units are using an old encryption scheme.

Despite these improvements, a need still exists to provide a better method for preventing unauthorized copying and distribution of prerecorded material such as audio, video and software programs. A particular object of the present invention is to provide protection against unauthorized copying and distribution, even in the event an encryption code designed to protect against such copying is broken.

## SUMMARY OF THE INVENTION

The above object has been met with a system that allows for distribution of valuable recorded information such as

audio, video or software programs on storage media such as optical or magnetic disks or tapes while protecting that information against unauthorized copying by making such copying difficult and uneconomical.

A number of information storage media each having a large data storage capacity for information that can be written, read and rewritten many times is provided, along with drives that can read and write data on the storage media. Information such as a prerecorded program is stored on the storage media in an encrypted form which can be decrypted by the associated drives. Each of the storage media may employ a different encryption code, limiting decryption of the stored information to a particular, mated drive. Among the encrypted information found in each storage medium is an individual identity code of that storage medium, which when inserted into a drive can provide an identity of a mated drive.

The drives are each equipped with a means for communicating with a host computer. Preferably such communication means include a satellite that transmits signals between the host computer and universal asynchronous receive and transmit (UART) chips connected to the drives. Alternatively, the communication between the host computer and the drives may be by means of a network of wires or telephone lines or a computer network, such as the Internet. The encrypted information on the storage medium and the encryption key of the drive allow limited reading of the encrypted information by the drive before the drive must be contacted by the host computer, ensuring that the drives remain in communication with the host computer.

The host computer periodically interrogates the drives regarding their identity or the identity of their associated storage media. The host computer also periodically revises the encryption codes of the drives or associated storage media, in order to make decoding the encryption codes more difficult. Upon receiving identities from the various drives the host computer checks for a duplicated identity of any of the drives or storage media. Since a duplicated identity indicates that a particular program has been copied without authorization, the host computer transmits signals to the drives which disable all of the drives or storage media having that duplicated identity. The host computer may also check the identities it has received against a list of authorized identities, and thereupon may disable any control or storage media having unauthorized identities.

In order to compensate any authorized owners having drives or storage media that were mistakenly disabled, the units are sold with a guarantee of replacement of several units or several times the purchase price if the units fail. However, since authorized units that have been disabled due to duplicate identities may be linked to unauthorized copying, this replacement offer may actually provide clues as to a source of illegal copying.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of the system of the present invention.

FIG. 2 is a block diagram of a method of employing the system of FIG. 1.

## BEST MODE FOR CARRYING OUT THE INVENTION

Referring now to FIG. 1, a system of the present invention has a first storage medium SM<sub>1</sub> 20 which stores encrypted information including an identification ID<sub>1</sub> of SM<sub>1</sub>. SM<sub>1</sub>

may be an optical data recording disk or tape, a magnetic disk or tape, or a non-volatile semiconductor based memory such as flash memory card. The encrypted information may contain, for example, a movie or video game, an audio recording or a computer program.

In order to read the information which is stored on SM<sub>1</sub> 20, a drive DR<sub>1</sub> 22 is connected with SM<sub>1</sub>. DR<sub>1</sub> 22 also has a means for decrypting the encrypted information that is stored on SM<sub>1</sub> 20, so that the information can be displayed in a form suitable for human perception, typically involving sight and/or hearing, or use by another device such as a computer. In other words, DR<sub>1</sub> 22 is able to read and decrypt SM<sub>1</sub> 20, like a conventional tape player can play a pre-recorded tape, so that the encrypted information stored on SM<sub>1</sub> is accessible by a person or machine operating DR<sub>1</sub>. In order to decrypt the encrypted information stored on SM<sub>1</sub> 20, DR<sub>1</sub> 22 has electronic circuitry preferably combined with a non-volatile memory on a semiconductor chip, which is programmed with a key that unlocks the encryption with which the information on DR<sub>1</sub> is stored.

A variety of encryption techniques may be employed for encrypting and decrypting the information on SM<sub>1</sub>, such as the well known RSA system described in U.S. Pat. No. 4,405,829. Due to other checks that are provided in the present invention, as described below, less sophisticated and more economical encryption techniques may be alternatively employed.

DR<sub>1</sub> 22 also has an attached transceiver TR<sub>1</sub> 25 which is able to send and receive messages from a host computer 28. The host computer is preferably in communication via a satellite, not shown, which provides wireless relay of signals between the host computer 28 and TR<sub>1</sub> 25. TR<sub>1</sub> in this case is a universal asynchronous receive and transmit (UART) device that communicates packets of data between the drive DR<sub>1</sub> 22 and the host computer 28 via electromagnetic waves. In another embodiment, information is sent between DR<sub>1</sub> 22 and host computer 28 by means of electrically conductive or fiber optic wires, not shown, such as is available with telephone or computer networks, in which case TR<sub>1</sub> is typically a modem or network connector, and signals to and from the host 28 are sent via a router.

Another information storage medium SM<sub>2</sub> 30, and its mated drive DR<sub>2</sub> 33 are also in communication with host computer 28 via a transceiver TR<sub>2</sub> 35. The information stored on SM<sub>2</sub> may be very similar to that stored on SM<sub>1</sub>, except that SM<sub>2</sub> has a different identity than SM<sub>1</sub>, and the information stored on SM<sub>2</sub> is encrypted with a different code than that stored on SM<sub>1</sub>. D<sub>2</sub> is programmed with a key which unlocks the encryption code of SM<sub>2</sub>. In practice, a great number (n) of mated pairs of storage media and drives, represented in FIG. 1 as DR<sub>n</sub> 38 and SM<sub>n</sub> 40, may exist in communication with host computer 28 via transceivers TR<sub>n</sub> 42, and the location of various mated pairs SM<sub>n</sub> and DR<sub>n</sub> and attached transceivers TR<sub>n</sub> may be geographically diverse. It is also possible that for each drive DR<sub>i</sub> a variable number of storage media SM<sub>i</sub> exist, provided that the drive DR<sub>i</sub> can decrypt information on each of the storage media SM<sub>i</sub>.

In operation, beginning somewhat arbitrarily with encrypted information stored on SM<sub>1</sub>, DR<sub>1</sub> reads and decrypts information from SM<sub>1</sub>, including reading the identity ID<sub>1</sub> of SM<sub>1</sub>, as shown in step 44. This step 44 could have been prompted by either an operator using DR<sub>1</sub> to decrypt the information in SM<sub>1</sub> or by polling from the host computer 28 to verify the authenticity of the storage media SM<sub>n</sub>. If the step 44 was operator requested, DR<sub>1</sub> then provides the

decrypted information to a connected device such as a television or personal computer for display or use by the operator, as shown in step 46. If the step 44 was requested by the host computer 28, DR<sub>1</sub> 22 then sends ID<sub>1</sub> to the host 28 without displaying decrypted information, as shown in step 48. Since a request for identification is sent from the host computer 28 to all pairs of storage and drives DR<sub>1</sub>-DR<sub>N</sub> and SM<sub>1</sub>, other drives DR<sub>1</sub>-DR<sub>N</sub> also send their identification ID<sub>1</sub>-ID<sub>N</sub> to the host 28, via transmitters TR<sub>1</sub>-TR<sub>N</sub>.

The host computer 28 performs several operations upon receipt of the identifications ID<sub>1</sub>-ID<sub>N</sub>. First, the host 28 checks the identifications ID<sub>1</sub>-ID<sub>N</sub> against a list of authorized identifications, the list of authorized identifications corresponding to those storage media which were sold or licensed. Any identification ID<sub>x</sub> which is received by the host 28 that does not match with an identification on the authorized list is stored by the host 28. Second, the host 28 checks the identifications ID<sub>1</sub>-ID<sub>N</sub> that it has received from transmitters TR<sub>1</sub>-TR<sub>N</sub> for duplicate identifications. Note that this operation of checking for duplicate identifications can be performed previous to or concurrently with the operation of checking for unauthorized identifications. Note also that the identifications ID<sub>1</sub>-ID<sub>N</sub> may actually be the encryption codes or keys with which the information is encrypted and decrypted. Any identification ID<sub>y</sub> which is received by the host 28 that matches with another identification ID<sub>y</sub> received by the host 28 is stored.

Upon storing any identifications ID<sub>x</sub> or ID<sub>y</sub> that indicate either the use of an unauthorized copy of the information or the unauthorized copying of that information, the host computer 28 has a number of options. The host 28 can send disabling signals to the transmitters TR<sub>x</sub> and TR<sub>y</sub> that are associated, respectively, with the unauthorized identification ID<sub>x</sub> and the copied identification ID<sub>y</sub>, and that disable either the respective storage media SM<sub>x</sub> and SM<sub>y</sub> or drives DR<sub>x</sub> and DR<sub>y</sub>, as shown in step 50, in which DR<sub>2</sub> or SM<sub>2</sub> is disabled. Such disabling signals can, for example, involve changing an encryption code of either a drive or a mated storage medium, but not both, so that the drive cannot decrypt information from the storage medium. Alternatively, the host computer 28 may be programmed, for instance, to allow for a single duplicate identity ID<sub>y</sub> without disabling corresponding storage media SM<sub>y</sub> or drives DR<sub>y</sub>, so that archival copying or home copying is not prevented, but to disable DR<sub>y</sub> or SM<sub>y</sub> if multiple duplicates of ID<sub>y</sub> are discovered by the host computer 28, thereby rendering unauthorized copying worthless. Another option that may be employed by the host 28 is to find the location of the TR<sub>x</sub> or TR<sub>y</sub> associated with unauthorized or duplicated programs, for enforcement of copyright laws.

The host computer 28 also periodically or in response to each identification check sends out revised encryption codes to transmitters such as TR<sub>1</sub> which have an identification ID<sub>1</sub> which is neither unauthorized nor duplicated, as shown in step 52. For the case in which the encryption codes or keys correspond with the identifications, revising the encryption codes also results in revising the identifications ID<sub>1</sub>-ID<sub>N</sub> of each of the mated pairs DR<sub>1</sub>-DR<sub>N</sub> and SM<sub>1</sub>-SM<sub>N</sub>. For example, the transceiver TR<sub>1</sub> transmits the revised encryption to the connected controller DR<sub>1</sub>, which then rewrites the information stored on storage medium SM<sub>1</sub> in encrypted form with the new encryption key, as shown in step 54.

To ensure the maintenance of communication between the drives DR<sub>1</sub>-DR<sub>N</sub> and the host computer 28, the storage media SM<sub>1</sub>-SM<sub>N</sub> are restricted in the number of times they can be read before contact is made with the host 28. This restriction may be enforced, for example, by rewriting a

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small part of the encrypted information with each reading of storage media  $SM_1$ - $SM_N$ . After a set number of readings, decryption of the information would be disallowed, and a message displayed to the operator that contact with the host 28 is necessary. Alternatively, communication with the host 28 may be required after each reading of information by one of the drives  $DR_1$ - $DR_N$ . As another example, the drives  $DR_1$ - $DR_N$  may have timers which require a periodic contact with the host 28 on a daily, weekly or monthly basis. In this case an update may occur overnight or with the first time during a day that a computer, television or other device connected to the drive is turned on.

Referring now to FIG. 2, a method of the present invention is illustrated, beginning at step 60 with providing storage media  $SM_1$ - $SM_N$ , driver  $DR_1$ - $DR_N$ , transceivers  $TR_1$ - $TR_N$  and a host computer. Since this system utilizes mated drives  $DR_1$ - $DR_N$  and storage media  $SM_1$ - $SM_N$ , it is preferably implemented with a new portable storage technology that does not have an installed base of drive units. Such storage technologies may include, for instance, optical tapes or disks and their associated drive mechanisms, flash memory card systems or ferroelectric memory systems. Alternatively, add on hardware or software keys, and transceivers if needed, could be provided along with prerecorded software for existing computer drives. Due to the large amounts of money estimated to be lost by producers of valuable information such as computer software to unauthorized copying, the cost of adding encryption keys to the software may well prove to be economical.

The encrypted information and identifications  $ID_1$ - $ID_N$  are then stored 63 in storage media  $SM_1$ - $SM_N$ . Encryption keys are provided 65 to the drives  $DR_1$ - $DR_N$  that mate with the storage media  $SM_1$ - $SM_N$ . Note that the encryption keys may be preprogrammed in  $DR_1$ - $DR_N$  or may be provided by the host computer upon communication of  $DR_1$ - $DR_N$  with that host computer. The encrypted information and identifications  $ID_1$ - $ID_N$  are then read 67 by one or more of the drives  $DR_1$ - $DR_N$ . With the drives  $DR_1$ - $DR_N$  having keys matching their respective storage media  $SM_1$ - $SM_N$ , the encrypted information can then be decrypted 70 by the one or more of drives  $DR_1$ - $DR_N$ . The decrypted information can then be displayed or otherwise used 72 by a person or machine operator.

Either in response to an interrogation 75 of  $DR_1$ - $DR_N$  by the host computer, or a periodic or use based identification requirement as described above, the identifications  $ID_1$ - $ID_N$  are transmitted 77 from drives  $DR_1$ - $DR_N$  to the host computer. The host computer then compares 80 identifications  $ID_1$ - $ID_N$  with each other and with a list of authorized identifications. If one of those identifications  $ID_x$  is unauthorized or duplicated, as shown in decision block 82, either  $DR_x$  or  $SM_x$  may be disabled 85 by the host computer. Upon discovering that their units  $DR_x$  or  $SM_x$  are no longer operable, authorized owners of the units are encouraged to return their units for replacement 88 by an offer, communicated to the owners at the time of purchase, of receiving replacement money or programs worth several times the purchase price. Such disablement also helps to track unauthorized copying, as holders of unauthorized copies of information may be tempted to bring their storage media  $SM_x$  for repair or replacement. Also, for the situation in which an authorized storage medium  $SM_x$  is returned that is recognized by the host computer upon the return as being a storage medium for which a number of duplicate identifications  $ID_x$  are stored, an indication of a source of illegal copying may have been discovered.

Returning now to decision block 82, for identifications  $ID_1$ - $ID_N$  which are authorized and not duplicated, new

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encryption codes may be transmitted 90 from the host computer to  $DR_1$ - $DR_N$  via  $TR_1$ - $TR_N$ . These new codes are used to re-encrypt 93 the information and identifications  $ID_1$ - $ID_N$  on  $SM_1$ - $SM_N$ . The drives  $DR_1$ - $DR_N$  are then able to be used again to decrypt the encrypted information held in storage media  $SM_1$ - $SM_N$ , as shown in step 95, after which the information can be displayed or used 72. The identifications  $ID_1$ - $ID_N$  may also be transmitted 77 to the host computer at this time, periodically or in response to an inquiry from the host computer.

I claim:

1. A system for storing and retrieving information comprising:

a plurality of information storage media being both readable and writable, each of said storage media storing encrypted information, said encrypted information including an identity of said storage medium,

a drive associated in a read/write relationship with each of said storage media, said drive having means for storing a cryptographic key in said drive, said drive further having means for reading said encrypted information from an associated storage medium and means for decrypting said encrypted information based on said cryptographic key as said encrypted information is read by said means for reading, said drive also having means for encrypting information based on said cryptographic key and for writing encrypted information on said storage medium, and

a host computer having means for communicating with each said drive including means for receiving from said drive said identity of said storage medium and means for transmitting to said drive a revised cryptographic key for encrypted writing on said associated storage medium,

said host computer also having means for checking for a duplicated identity of said storage medium and means for disabling all storage media having said duplicated identity.

2. The system of claim 1 wherein said identity is an encryption key.

3. The system of claim 1 wherein said host computer further includes means for checking for an unauthorized identity of said storage medium and means for disabling said storage medium having said unauthorized identity.

4. The system of claim 1 wherein said host computer further includes means for disabling all of said storage media in response to receiving from said drive at least one of said duplicated identity and an unauthorized identity.

5. The system of claim 1 wherein said means for communication of said host computer and said drive includes a satellite.

6. The system of claim 1 wherein each said storage medium has an independent encryption key and each said associated drive has a key fitting said encryption key.

7. The system of claim 1 wherein said information storage media include an optical storage medium.

8. The system of claim 1 wherein said information storage media include a magnetic storage medium.

9. The system of claim 1 wherein said information storage media include non-volatile semiconductor memories.

10. The system of claim 1 further comprising means, connected with said drive, for providing said decrypted information to a remote system.

11. A method for preventing piracy of recorded information comprising:

providing a plurality of information storage media and a plurality of drives associated with said storage media.

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a host computer and a means for communication between said drives and said host computer, said storage media being both readable and writable, said storage media including optical disks and magnetic disks, said drives encrypting and storing information including a different identity in each of said storage media, said drives reading encrypted information stored on said storage media including decrypting on-the-fly said encrypted information, the encryption and decryption performed using a cryptographic key stored within said associated drive

transmitting said identities of said storage media to said host computer from said drives,

comparing said identities by said host computer, and if an identity of a storage medium is duplicated, disabling all storage media having said duplicated identity by said host computer.

12. The method of claim 11 wherein said comparing said identities by said host computer includes matching said identities with a list of authorized identities, and if a storage medium has an identity different from said authorized identities, disabling said storage medium having said different identity.

13. The method of claim 11 further comprising enabling an authorized storage medium which has been disabled, including replacing at least an identity and encryption key of said storage medium.

14. The method of claim 11 further comprising interrogating said drives by said host computer regarding identification of said storage media.

15. The method of claim 11 further comprising periodically transmitting a replacement encryption key from said host computer to said drives, and employing said replacement key to restore said encrypted information in said storage media.

16. The method of claim 11 wherein said information is encrypted and decrypted with a public and private key system.

17. The method of claim 11 wherein storing encrypted information in each of said storage media includes employing a different encryption key with each said storage medium.

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18. The method of claim 17 wherein said encryption key is recognized by said host computer as said identity of said storage medium.

19. A method of preventing piracy of stored information comprising:

providing a host computer and providing a plurality of information storage media having associated drives, said storage media being both readable and writable said storage media being optical disks or magnetic disks,

said associated drives storing information in each of said storage media in independently encrypted form and decryptable by said associated drives on-the-fly, including storing an identity of each of said storage media, the encryption and decryption performed using a cryptographic key stored within said associated drive

transmitting said identities of said storage media from said associated drives to said host computer,

comparing by said host computer said identities, and if said host computer detects a duplicate identity, disabling said storage media having said duplicated identity,

transmitting from said host computer to said drives replacement keys for encryption and decryption of said information in said associated storage media not having said duplicated identity, and

storing said replacement keys in said drives.

20. The method of claim 19 wherein comparing by said host computer said identities includes matching said identities with those from an authorized list, and if said host computer detects an unauthorized identity, disabling said storage medium having said unauthorized identity.

21. The method of claim 19 further comprising issuing a different encryption key to an associated drive of an authorized storage medium having said duplicate identity, including storing a new identity in said authorized storage medium.

\* \* \* \* \*

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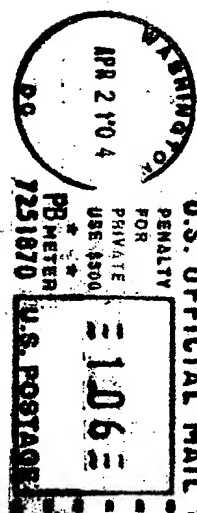
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